Data protection information on the collection and processing of personal data on the basis of the General Data Protection Regulation (GDPR)

# I – Subject of the project

1. project:
"PROWISS. Productive processing of 'failure' in science: How can scientific quality be ensured in dealing with failure and negative results?" (October 2020 - February 2024; BMBF)
More information about the project: <u>go.lu-h.de/ish-prowiss</u>
2. purpose of the survey:
The survey is designed to collect perceptions of failure and success in research and an understanding of research processes.
3. implementing institution:
Leibniz University Hanover Institute for Sociology
Schneiderberg 50 30167 Hanover
phone: +49 511 762 5432 fax: +49 511 762 5435 e-mail: <u>e.barloesius@ish.uni-hannover.de</u> URL: <u>https://www.ish.uni-hannover.de/</u>
4. project management and staff:
Prof. Dr. Eva Barlösius, +49 511 762 5432, <u>e.barloesius@ish.uni-hannover.de</u> PD Dr. Axel Philipps, +49 511 762 5780, <u>a.philipps@ish.uni-hannover.de</u> M.A. Michelle Giez, , +49 511 762 17306, <u>m.giez@ish.uni-hannover.de</u>
Contact for the survey: PD Dr. Axel Philipps, +49 511 762 5780, <u>a.philipps@ish.uni-hannover.de</u>
5. data protection supervisor of LUH:
Leibniz University Hanover – data protection supervisor –
Königsworther Platz 1 30167 Hanover
phone: +49 511 762 0 fax: +49 511 762 8258 e-mail: <u>datenschutz@uni-hannover.de</u>

#### **II** – Contact and participants of the survey

On the basis of Art. 6 (1) lit. e GDPR and in conjunction with § 13 NDSG (data protection law Lower Saxony), a search was carried out for the survey on the websites of universities in Germany. The following publicly available data was collected from the websites of the named institutions: surname, first name, subject discipline, university, faculty, institute, federal state and e-mail address. The data was then imported into the Lime Survey software hosted by LUH. You received the survey invitation because your e-mail address was available on the website of one of the institutions. Participants in the survey are academic staff of all status groups. If you do not feel addressed by the email or the survey homepage, you can ignore it and the reminders to participate. However, as a member of a scientific institution in Germany, you also have the right to object to the processing of your e-mail address in accordance with Art. 21 GDPR and send an e-mail to Mr. Axel Philipps (a.philipps@ish.uni-hannover.de) so that we delete your data and you no longer receive reminders.

## III - Type of project

This project is a cross-sectional study funded by the German Federal Ministry of Education and Research (BMBF). The online survey is one component of the project and complements participant observation and qualitative interviews with scientists. The results of the survey and the other components of the project serve to understand and clarify how scientists perceive and deal with failure in research. The survey will be conducted for the first and only time between March 15 and April 30, 2023. It is not intended to be a longitudinal study or panel survey. Regarding this survey, we will invite the researched persons to participate only once and, if necessary, remind them to participate.

## IV - Survey content

The questionnaire consists of 13 questions, from which there are predefined answer options to choose from. The questions relate to your assessment of success, failure, are about your research practice, norms of science, inacceptable actions in research, your gender, your subject affiliation, scientific activity (research interest, position, type of term), appraisal activities and on the funding rate.

#### V – Declaration of consent and data processing

The participation in the survey and your answers are voluntary and given with your consent in accordance with Art. 6 (1) lit. a GDPR. You will not suffer any disadvantages as a result of non-participation.

The survey will be conducted in strict compliance with data protection regulations. The data from the survey will be used within the framework of the above-mentioned project and its processing will be carried out exclusively by the authorized employees of the project (see point I). The e-mail addresses and contact data will be deleted after the survey has been completed. The content data from the survey will be processed separately from the contact data for research purposes.

The original survey data will be deleted after a retention period of 10 years recommended by the German Research Foundation (DFG). The DFG recommends this period to allow for a review of research data. We offer a complaint option via the Sociology Institute at LUH, contact: e-mail: <u>a.philipps@ish.uni-hannover.de</u>, phone: +49 511 762 5780.

In publications and reports, it is assured that no conclusions can be drawn about individual persons.

You have the possibility at any time to withdraw your consent to the processing of your personal data in accordance with Art. 7 (3) GDPR. The revocation of consent shall not affect the lawfulness of the processing carried out on the basis of the consent until the revocation.

However, responses can only be deleted if they can still be assigned to the person concerned. No disadvantages arise from non-participation or revocation of consent.

In addition, you have the following rights against the responsible body with regard to the processing of personal data if the legal requirements are met:

- Right of access by the data subject (Art. 15 GDPR)
- Right to rectification (Art. 16 GDPR)
- Right to erasure ('right to be forgotten') (Art. 17 GDPR)
- Right to restriction of processing (Art. 18 GDPR)
- Right to data portability (Art. 20 GDPR)

Furthermore, you can file a complaint with the responsible supervisory authority:

Data protection supervisor for Lower Saxony

Barbara Thiel	
Prinzenstraße 5	
30159 Hanover	
Phone:	+49 511-120 4500
Fax:	+49 511-120 4599
E-mail:	poststelle@lfd.niedersachsen.de

If you have questions about the project, please feel free to contact us at any time.

PD Dr. Axel Philipps | Prof. Dr. Eva Barlösius | Michelle Giez